

PRIME NUMBERS
AND L-FUNCTIONS

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CM, Method. 1996

THEOREM (Bombieri-Vinogradov). We have

$$\sum_{q \leq Q} \max_{(a, q) = 1} \left| \psi(x; q, a) - \frac{x}{\phi(q)} \right| \ll x (\log x)^{-A}$$

for any constant $A > 1$ with $Q = x^{\frac{1}{2}} (\log x)^{-B}$, $B = B(A) > 0$.

Hence $\psi(x; q, a) \sim x/\phi(q)$ for almost all $q \leq x^{\frac{1}{2}} (\log x)^{-B}$.

Beyond the GRH

CONJECTURE (Elliott-Halburdson). The B-V holds with

$$Q = x^{\theta}, \text{ any } \theta < 1.$$

THEOREM (Goldston-Pintz-Yildirim). If B-V holds with $Q = x^{\theta}$ for some $\frac{1}{2} < \theta < 1$, then the gaps between primes are absolutely bounded infinitely often.

THEOREM (Bombieri-Freuz-Friedlander-Iwaniec). For any sequence (λ_n) of level $Q = x^{\theta}$ which is "well-factored"

IN ARITHMETIC PROGRESSIONS

$$x \equiv a \pmod{q}, p \neq 1, q > \sqrt{x}$$

$mx \equiv a \pmod{q}$
 m, x - medium size
 with irregular

interpretation of the congruence
 by the equation

$$mx = a + qr$$

$$\det \begin{pmatrix} m & r \\ q & x \end{pmatrix} = a$$

By the Hecke operator T_a
 reduce this to

$$\det \begin{pmatrix} m & r \\ q & x \end{pmatrix} = 1$$

Special theory on congruence groups

Problems with small eigenvalues

...

$Lx \equiv a \pmod{q}$
 L - large, smooth
 x - small, irregular

Fourier analysis
 in the L -variable
 (circumference)

SPECTRAL ANALYSIS OF PRIMES IN ARITHMETIC PROGRESSIONS

$$p \equiv a \pmod{q}, p \leq x, q \geq \sqrt{x}$$

$mx \equiv a \pmod{q}$
 m, x - medium size
 both irregular

interpretation of the congruence
 by the equation
 $mx = a + q$

$$\det \begin{pmatrix} m & -1 \\ q & x \end{pmatrix} = a$$

By the Hecke operator T_a
 reduce this to

$$\det \begin{pmatrix} m & -1 \\ q & x \end{pmatrix} = 1$$

Spectral theory on congruence groups
 Problems with small eigenvalues

$Lx \equiv a \pmod{q}$
 L - large, smooth
 x - small, irregular

Fourier analysis
 in the L -variable
 (characters)

EXPLICIT FORMULA

$$\psi(x; q, a) = \frac{x}{\varphi(q)} - \frac{1}{\varphi(q)} \sum_{\chi \pmod{q}} \bar{\chi}(a) \sum_{\rho} \frac{x^{\rho}}{\rho} + \dots$$

$$L(\rho, \chi) = 0$$

$\rho = \frac{1}{2} + i\gamma$ the Grand Riemann Hypothesis

TCHEBYSHEV'S BIAS

Observe more primes $p \equiv 3 \pmod{4}$ than $p \equiv 1 \pmod{4}$!

Comparative Prime Number Theory (Knapowski + Turán)

The bias of multi classes (Rubinfeld + Sarnak)

$$\frac{1}{s} = \prod_p \left(1 + \frac{1}{p^s}\right) = \sum_n \frac{\mu(n)}{n^s}, \quad \text{Re } s > 1$$

$$\mu(n) = (-1)^r \quad \text{if } n = p_1 \cdots p_r \text{ distinct prime factors}$$

$$\mu(n) = 0 \quad \text{if } p^2 | n$$

The Möbius function $\mu(n)$ changes sign with unbiased fashion towards any natural sequence c_n producing a considerable cancellation in the twisted sums.

$$\sum_{n \leq x} \mu(n) c_n.$$

This principle is very useful for testing sums over primes

$$\sum_{n \leq x} a_n \Lambda(n)$$

where $A = (a_n)$ is quite general sequence of real, or negative, numbers. Indeed, we have

Assuming

$$\sum_{\substack{n \leq x \\ n \equiv 0 \pmod{m}}} a_n \sim g(m)x$$

where g is a suitable multiplicative function
 one is led by the randomness principle to the
 ASYMPTOTIC FORMULA FOR SUMS OVER PRIMES

$$\sum_{n \leq x} a_n \Lambda(n) \sim Hx$$

where H is a positive constant given by the
 infinite product

$$H = \prod_p \left(1 - g(p)\right) \left(1 - \frac{1}{p}\right)^{-1}$$

Sieve for Primes

fix $\delta > 0$. Suppose

$$\sum_{m \leq x^{1-\delta}} \left| \sum_{\substack{n \leq x \\ n \equiv 0 \pmod{m}}} a_n - g(m)X \right| \ll X (\log x)^{-2}$$

and

$$\sum_{\substack{L \leq m \leq x \\ x^\delta \leq m \leq x^{2\delta}}} \mu(m) a_m \ll X (\log x)^{-2}$$

Then we have the asymptotic formula

Sieve for Primes

Fix $\delta > 0$. Suppose

$$\sum_{m \leq x^{1+\delta}} \left| \sum_{\substack{n \leq x \\ n \equiv 0 \pmod{m}}} a_n - g(m)x \right| \ll X(\log x)^{-2}$$

and

$$\sum_{\substack{t \leq \log x \\ x^{\delta} \leq m \leq x^{2\delta}}} \left| \sum_{n \leq x} \mu(m) a_{nm} \right| \ll X(\log x)^{-2}$$

Then we have the asymptotic formula

$$\sum_{n \leq x} a_n \Lambda(n) \sim HX$$

THEOREM (Fury-Linnik)

$$\sum_{l^2+m^2 \leq x} \Lambda(l)\Lambda(l^2+m^2) \sim HX, \quad \text{with } X \sim x.$$

Hence there are infinitely many primes of type $p = l^2 + m^2$ where l is also prime.

THEOREM (Friedlander-Linnik)

$$\sum_{a^2+b^4 \leq x} \Lambda(a^2+b^4) \sim HX,$$

Hence there are infinitely many primes

THEOREM (Hunt-Brown)

$$\sum \Lambda(a^3+b^3) \sim HX,$$

THEOREM (Fury + Iannicci)

$$\sum_{l^2 + m^2 = x} \Lambda(l) \Lambda(m) \sim HX, \quad \text{with } X \sim x.$$

Hence there are infinitely many primes of type $p = l^2 + m^2$ where l is also prime.

THEOREM (Fisellander + Iannicci)

$$\sum_{a^2 + b^4 = x} \Lambda(a) \Lambda(b) \sim HX, \quad \text{with } X \sim x^{\frac{3}{4}}.$$

Hence there are infinitely many primes of type $p = a^2 + b^4$.

THEOREM (Heath-Brown)

$$\sum_{a^2 + 2b^2 = x} \Lambda(a) \Lambda(b) \sim HX, \quad \text{with } X \sim x^{\frac{3}{4}}.$$

1. WHAT ARE THE PRIME NUMBERS GOOD FOR ?

$$\mathcal{P} = \{p = 2, 3, 5, 7, 11, 13, 17, 19, 23, \dots\}$$

elementary particles of arithmetic

Fundamental Areas and Principles

F_p - finite fields
 \mathbb{Q}_p - p-adic fields
local-global principles
prime ideals in number fields

Entertaining Problems

- The largest known prime number
(proved by C. Lagarias, J. S. Brown in 2005)

$$p = 2^{3021317} - 1 \quad \text{over nine million digits}$$

- The Twin Primes Conjecture

The Spin of Gaussian Primes

$$p \equiv 1 \pmod{4}, \quad p = \pi \bar{\pi},$$

$$\pi = r + is, \quad p = r^2 + s^2, \quad r, s > 0, \quad r \text{ odd}$$

$$s_p = \left(\frac{p}{p}\right) = \pm 1 \quad \text{the Legendre symbol}$$

We have (Friedlander + Iwaniec)

$$\sum_{p \leq x} s_p \sim x^{\pi/11}.$$

Surprising Conspiracy (set up by Iwaniec + Luo + Soundararajan)

$\lambda(p)$ - the eigenvalues of the Hecke operators T_p acting on a cusp form on the full modular group $\Gamma \backslash \mathbb{H}^2(\mathbb{Z})$

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We have (Friedlander + Iwaniec)

$$\sum_{p \leq x} s_p \sim x^{\frac{7}{11}}.$$

Surprising Conspiracy (set up by Iwaniec + Luo + Sarnak)

$\lambda(p)$ - the eigenvalues of the Hecke operators T_p acting on a cusp form on the full modular group $\Gamma = \text{SL}_2(\mathbb{Z})$
 $(k, l) \equiv 2$ - the Ramanujan Conjecture (proved by P. Deligne)

By the GRH we get

$$\sum_{p \leq x} \lambda(p) = o(x) \quad \sum_{p \leq x} \lambda(p)^2 = o(x)$$

$T = \text{void}$, $p \text{ or } s^2$, $\langle s = 0$, $\neq \text{odd}$

$s_p = \left(\frac{s}{p}\right) = \pm 1$ the Legendre symbol

(we have (Fricolander + Iwaniec))

$$\sum_{p \leq x} \frac{s_p}{p} \sim x^{-1/2}$$

Surprising Conspiracy (set up by Iwaniec + Luo + Sarnak)

$\lambda(p)$ - the eigenvalues of the Hecke operators T_p acting on a cusp form on the full modular group $\Gamma = \text{SL}_2(\mathbb{Z})$
 $|\lambda(p)| \leq 2$ - the Ramanujan Conjecture (proved by P. Deligne)

By the GHI we get

$$\sum_{p \leq x} \lambda(p) \sim x^{1/2}, \quad \sum_{p \leq x} e^{i\theta(p)} \sim x^{1/2}$$

By the continuity principle of the Mellin function we derive

$$\sum_{p \leq x} \lambda(p) e^{i\theta(p)} \sim x^{1/2}$$

7. THE EXCEPTIONAL CHARACTER

THEOREM (Landau): Let $D > 4$. There exists at most one character $\chi = \chi_D$ of conductor D such that $L(s, \chi_D)$ has a zero in the region

$$s = \sigma + it, \quad \sigma > 1 - \frac{c}{\log D(1+|t|)}$$

where $c > 0$ is a small absolute constant. The exceptional character is real and the exceptional zero, say β , is also real and simple.

$$1 - \frac{c}{\log D} \leq \beta < 1.$$



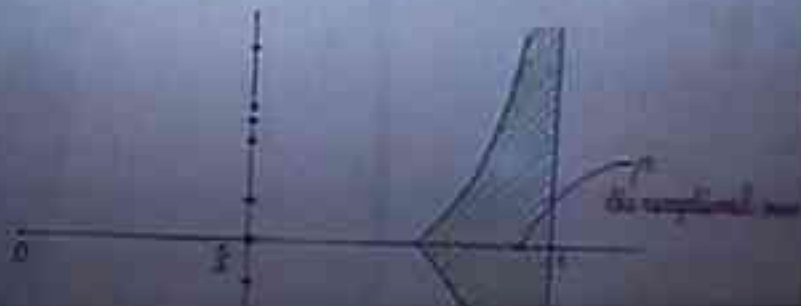
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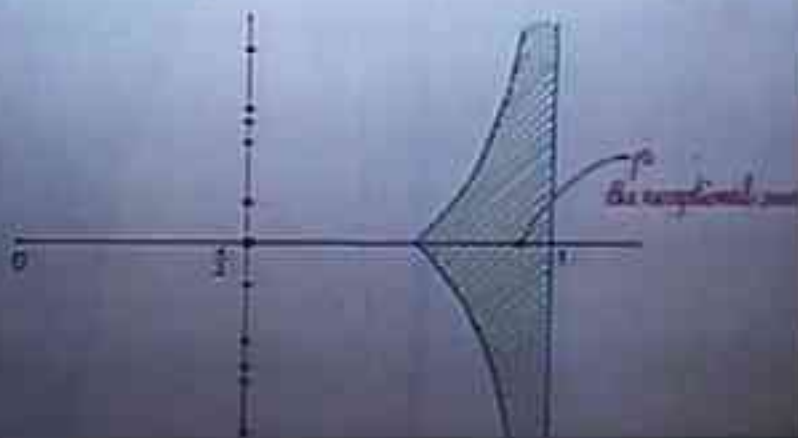


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$$\chi_D(n) = \left(\frac{-D}{n}\right)$$

is the Kronecker symbol associated with the imaginary quadratic field.

$$K = \mathbb{Q}(\sqrt{-D}).$$

The Dirichlet Class Number Formula

$$h(-D) = \pi^{-1} \sqrt{D} L(1, \chi_D) \geq 1.$$

REPELLING PROPERTY. If the exceptional zero of $L(s, \chi_D)$ is close to the point $s=1$, then the other zeros, real or complex, of any natural L -function stay further from $s=1$.

The exceptional character pretends to be the Hecke function!

Hence the exceptional character opens the way for eliminating zeros close to $s=1$ by applying harmonic analysis!

repelling property. The power of repulsion rapidly as β approaches the central point $s = \frac{1}{2}$. Yet, if the central point is a multiple one then its repelling power is not gone entirely.

If the repelling is very strong one can obtain results about prime numbers which cannot be derived by the GRH alone. Therefore the exceptional character is welcome. Of course, its existence would contradict the Grand Riemann Hypothesis, so we better call the primes obtained by means of the exceptional character the "illusory primes".

EXAMPLE (Heath-Brown). There are infinitely many twin primes.

EXAMPLE (Friedlander + Iwaniec). There are infinitely many primes of type $p = 3a^2 + 2b^2$ (some slight errors with only one place of bad calculation).

OBSERVATION (Friedlander), Any real $\rho > \frac{1}{2}$ is exceptional in the sense of having the repelling property. The power of repelling diminishes rapidly as ρ approaches the central point $\sigma = \frac{1}{2}$. Yet, if the central point is a multiple zero then its repelling power is not gone entirely.

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$\frac{1}{2} < \beta < 1$ the exceptional case

$$h(-D) \asymp D^{\beta - \frac{1}{2}} (\log D)^{-2}$$

If $\beta = \frac{1}{2}$ is a triple zero of the Hasse-Weil L-function of an elliptic curve (of rank ≥ 3) then

$$L(-D) \asymp \log D \quad (\text{D. Goldfeld})$$

2. THE PRIME NUMBER THEOREM

$$\pi(x) = |\{p \leq x; p \text{ prime}\}| \sim \frac{x}{\log x}$$

$$\pi_2(x) = |\{p \leq x; p, p+2 \text{ primes}\}| \sim \frac{cx}{(\log x)^2}$$

$c = 0.66 \dots$ constant

Factor counting with

$$\Lambda(n) = \begin{cases} \log p, & \text{if } n = p^k, k > 0 \\ 0, & \text{otherwise} \end{cases}$$

$$\psi(x) = \sum_{n \leq x} \Lambda(n) \sim x \quad (\text{Hadamard de la Vallée Poussin})$$

$$\psi_2(x) = \sum_{n \leq x} \Lambda(n) \Lambda(n+2) \sim x \quad (\text{conjecture})$$

The Twin Prime Conjecture is that $\psi_2(x) \sim x$

OBSERVATION (Friedlander). Any real α with $\beta > \frac{1}{2}$ is exceptional in the sense of having the repelling property. The power of repelling diminishes rapidly as β approaches the central point $\beta = \frac{1}{2}$. Yet, if the central point is a multiple zero then its repelling power is not gone entirely.

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EXAMPLE (Friedlander & Iwaniec).

WARNING (Sarnak + Zamanescu). The existence of exceptional characters would ruin the Grand Riemann Hypothesis very badly. The L-functions of certain cusp forms would run complex zeros off the critical line!

How do you believe in the real exceptional character?

Eliminating the exceptional character is one of the most important problems in Analytic Number Theory.

THEOREM (Conrey + Iwaniec). Suppose $\chi(\rho)$ has a positive proportion of pairs of zeros on the critical line with gaps smaller than the half of the normal (average) gap. Then the exceptional character does not exist!

The Random Matrix Theory predicts that very small gaps between zeros occur often.

PAIR CORRELATION CONJECTURE (Montgomery).

$$\| \#\{ \rho, \rho' \in T, \frac{\rho - \rho'}{2\pi i} \in I \} - \# \{ \rho, \rho' \in T, \frac{\rho - \rho'}{2\pi i} \in I \} \|$$

$$T = \{ \rho \in \mathbb{C} \mid \rho = \frac{1}{2} + i\gamma \}$$

WARNING (Sarnak + Zaharescu). The inclusion of exceptional character would ruin the General Riemann Hypothesis very badly. The L-functions of certain cusp forms would have complex zeros off the critical line!

How do you believe in the real exceptional character?

Eliminating the exceptional character is one of the most important problems in Analytic Number Theory.

THEOREM (Conrey + Iwaniec). Suppose $\zeta(s)$ has a positive proportion of pairs of zeros on the critical line with gaps smaller than the half of the normal (average) gap. Then the exceptional character does not exist!

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PAIR CORRELATION CONJECTURE (Montgomery).

$$\|m+n, 0 \leq \gamma_m, \gamma_n \leq T, \frac{\gamma_m}{\log T} - \gamma_n - \gamma_n = \frac{\pi^2 n}{\log T} \| \\ = T \int_{-\infty}^{\infty} |f(x)|^2 |1 - \cos(\pi x)| dx.$$

WARNING (Sarnak + Zaharescu) The existence of exceptional character would ruin the Great Siegel Hypothesis very badly. The L-functions of certain cusp forms could have complex zeros off the critical line!

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PAIR CORRELATION CONJECTURE (Montgomery).

$$\|m+n, 0\|_{Y_m, Y_n} \sim T, \quad \frac{2\pi x}{\log T} < Y_m - Y_n < \frac{2\pi x}{\log T} \\ \sim \frac{1}{T(\log T)} \int_0^x (1 - \frac{t^2}{x^2}) dt$$

*ANALYTIC NUMBER THEORY
FLOURISHES WITH OR WITHOUT
THE RIEMANN HYPOTHESIS*

CHARACTERS VERSUS ZEROS

The additive group aspects of integers are quite well understood by means of harmonic analysis

$$\sum_{n \in \mathbb{Z}^r} f(n) = \sum_{n \in \mathbb{Z}^r} \hat{f}(n) \quad \text{Poisson's formula}$$

The zeta function

$$\zeta(s) = \sum_n n^{-s} = \prod_p \left(1 - \frac{1}{p^s}\right)^{-1}, \quad s = \sigma + it, \quad \sigma > 1$$

$$s(s-1)^{-\frac{1}{2}} \Gamma\left(\frac{s}{2}\right) \zeta(s) = c^{-bs} \prod_p \left(1 - \frac{s}{p}\right) e^{\frac{s}{p}}, \quad \begin{matrix} p = \text{prime} \\ 0 < \beta < 1 \end{matrix}$$

The zeros are "dual" companions to primes

$$\sum_n \Lambda(n) f(n) = - \sum_p F(p) + \int_1^{\infty} \left(1 - \frac{1}{(s-1)\cos(\pi u)}\right) f(x) dx$$

$f(x)$ - a test function, $F(x)$ - the Mellin transform

THE RIEMANN HYPOTHESIS $\rho = 1/2 + iy$

3. PRIMES VERSUS ZEROS

The additive group aspects of integers are quite well understood by means of harmonic analysis

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$$\zeta(s) = \sum_n n^{-s} = \prod_p \left(1 - \frac{1}{p^s}\right)^{-1}, \quad s = \sigma + it, \quad \sigma > 1$$

$$s(1-s)^{-1} \Gamma\left(\frac{s}{2}\right) \zeta(s) = e^{-bs} \prod_p \left(1 - \frac{s}{p}\right)^{-1/p}, \quad \begin{matrix} p = p + i\gamma \\ \alpha < \beta < 1 \end{matrix}$$

The zeros are "dual" companions to primes

$$\sum_n \lambda(n) f(n) = - \sum_p F(p) + \int_1^{\infty} \left(1 - \frac{t}{(t-1)\cos(t)}\right) f(t) dt$$

$f(t)$ - a test function, $F(y)$ - the Mellin transform

THE RIEMANN HYPOTHESIS

Total number of zeros

$$|\rho = \beta + i\gamma, 0 < \gamma \leq T| = \frac{T}{2\pi} \log T + O(T)$$

Density estimate

$$|\rho = \beta + i\gamma, 0 < \gamma \leq T, \beta \geq \alpha| \sim T^{c(1-\alpha)} \log T$$

$$c = \frac{12}{25} \text{ (Healy)}$$

$$c = 2 \text{ Density Conjecture}$$

Zeros Distributions

Theory of large values of Dirichlet Polynomials

Consecutive zeros

$$0 < \gamma_1 < \gamma_2 < \dots, \quad \gamma_n \sim \frac{2\pi n}{\log n}$$

Average spacing

4. GAPS BETWEEN PRIMES

p_n - the n -th prime number

$$p_n \sim n \log n$$

$$d_n = p_{n+1} - p_n \sim \log n \quad \text{on average}$$

$$d_n \ll (\log n)^2 \quad \text{Cramér's Conjecture}$$

$$d_n \ll n^{\frac{1}{4}} (\log n)^2 \quad \text{by the Riemann hypothesis}$$

$$d_n \ll n^{0.525} \quad \text{the world record due to Baker + Harman + Pintz}$$

$d_n = 2$ infinitely often (the Twin Primes Conjecture)

$$\liminf \frac{p_{n+1} - p_n}{\log n} = 0 \quad (\text{Goldston + Pintz + Yıldırım, 2016})$$

$(\log n)^2 (\log \log n)^2$

$$q \geq 1, (a, q) = 1$$

$$p \equiv a \pmod{q} \quad \text{Dirichlet series}$$

$$\chi \cdot (\mathbb{Z}/q\mathbb{Z})^{\times} \rightarrow \mathbb{C}^{\times} \quad \text{Dirichlet character} \\ \chi(q) \text{ of level } q$$

$$L(s, \chi) = \sum_n \chi(n) n^{-s} \\ = \prod_p (1 - \chi(p) p^{-s})^{-1} \quad L\text{-functions}$$

The Riemann zeta function extends to all L -functions
Assuming the General Riemann Hypothesis we have

$$\psi(x; q, a) = \sum_{\substack{n \leq x \\ n \equiv a \pmod{q}}} \Lambda(n) = \frac{x}{\varphi(q)} + O(\sqrt{x} (\log x)^2) \\ \text{if } q \leq \sqrt{x} (\log x)^{-2}$$

$$\psi(x; q, a) = \frac{x}{\varphi(q)} + o(x^{1/2}) \quad \text{for any } q \leq x^{1/2} \\ \text{(Montgomery's conjecture)}$$

5. PRIMES IN ARITHMETIC PROGRESSIONS

$$q \geq 1, (a, q) = 1$$

$$p \equiv a \pmod{q} \quad \text{Dirichlet's Theorem}$$

$$\chi: (\mathbb{Z}/q\mathbb{Z})^{\times} \rightarrow \mathbb{C}^{\times} \quad \text{Dirichlet characters } \chi(q) \text{ of these}$$

$$L(s, \chi) = \sum_n \chi(n) n^{-s} \\ = \prod_p (1 - \chi(p) p^{-s})^{-1} \quad \text{L-functions}$$

The Riemann zeta function extends to all L-functions.
Assuming the Grand Riemann Hypothesis we have

$$\psi(x; q, a) = \sum_{\substack{n \leq x \\ n \equiv a \pmod{q}}} \Lambda(n) = \frac{x}{\phi(q)} + O(\sqrt{x} (\log x)^2) \\ \text{if } q \leq \sqrt{x} (\log x)^2.$$

$$\psi(x; q, a) = \frac{x}{\phi(q)} \quad \text{for any } q \leq x^{1/2}$$